



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 12 2003
TECH CENTER 1800/2900

INFORMATION DISCLOSURE STATEMENT

In re Application of: Hsu, et al.
Serial No.: 09/718,071
Filed: November 21, 2000
Confirmation No.: 1979
Title: Water-Soluble Lotions for Paper Products

Attorney Docket No.: KCX-359-RCE (15169)
Date: May 5, 2003
Art Unit: 1619
Our Account No.: 04-1403
Customer No.: 22827

Commissioner for Patents
U.S. Patent and Trademark Office
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
24 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
- ☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☒ Filing Fee per Rule 17(p)\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.



CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____
Address: _____

Signature: _____
Date: _____

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[X] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[X] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Post Office Box 1450, Alexandria, VA 22313-1450, on May 5, 2003.

Lynn Watkins
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Lynn Watkins
(Signature of person mailing paper or fee)

b.[] "Express Mail" Certificate under Rule 10:

"Express Mail" - Label No. _____
Date of Deposit _____

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Post Office Box 1450, Alexandria, VA 22313-1450.

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DORITY & MANNING, P.A.

By: Jason W. Johnston

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Signature: *J. Johnston*

Date: May 5, 2003



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ATTORNEY DOCKET NO.: KCX-359-RCE(15169)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)
Hsu, et al.) Examiner: Lauren Q. Wells
Serial No.: 09/718,071) Art Unit: 1619
Filed: November 21, 2000) Deposit Acct. No.: 04-1403
Title: Water-Soluble Lotions for Paper Products) Confirm. No.: 1979

A/S
mp
5/22/03

Commissioner of Patents
Washington, D.C. 20231

Information Disclosure Statement Pursuant to 37 C.F.R. §1.97

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §1.97, et seq.,

Applicants wish to notify the Examiner that the following lotion was sold by the assignee of the present application prior to November 21, 1999:

Purified Water	85.29%
Lipocol CS-50 (Cetearyl Alcohol)	3.50%
Dimethicone 200	1.00%
Softisan 378 (Caprylic/Capric Stearate Triglyceride)	2.00%
Finsolve TN (C ₁₂₋₁₅ Alkyl Benzoate)	2.00%
Lipocol S-2 (Steareth-2)	1.20%
Lipocol S-20 (Steareth-20)	0.90%
Phospholipid SV	0.60%
Chlorhexidene Gluconate 20%	1.25%
Arylessene #AA01164	0.06%
Glycerin CP/USP 99.7	2.00%
Methyl Paraben	0.20%
Panthenol DL CG	0.001%
Tocopheryl Acetate	0.001%

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In addition, a wet wipe containing the following ingredients was sold by the assignee of the present application prior to November 21, 1999:

- Water
- D-limonene
- PEG Castor Oil
- PEG Oleate
- TEA Lauryl Sulfate
- Disodium Cocoaamphodiacetate
- Cocamidopropyl PG-Dimonium Chloride Phosphate
- Propylene Glycol
- DMDM Hydantoin
- 2-Phenoxyethanol
- Methylparaben
- Methyldibromo Glutaronitrile
- Aloe Vera
- Lanolin Oil

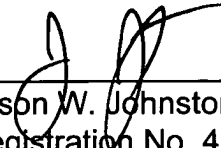
Applicants request that the Examiner, in accordance with 37 C.F.R. §1.97, review and consider the aforementioned information. Applicants in no way admit that any of the above information is effective as prior art against the present application under either 35 U.S.C. §102 or 35 U.S.C. §103. Additionally, the submission of the above information is not to be taken as an admission of the materiality of such information to the prosecution of the present application.

Please charge any additional fees required by this Information Disclosure Statement to Deposit Account No. 04-1403.

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Respectfully requested,

DORITY & MANNING, P.A.



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